



COCHIN STOCK BROKERS LIMITED

A SUBSIDIARY OF CSE HOLDINGS LIMITED

Regd. Office: MES Dr. P K Abdul Gafoor Memorial Complex, 65/608 – A 17, 4th Floor,

Judges Avenue, Kaloor, Cochin – 682017. Phone : 0484-4865174, 8075268724

Compliance Officer : P. Janaki Devi . Phone : 0484-4874522

Email id for investor complaints: csbl_grievance@csbl.co.in

Know Your Client (KYC) For Existing Clients Application Form (For Individuals Only)

For activation of New Exchange, Segments, Periodical review, Dormant Account.

A. Identity Details

Date :

1. Name of Applicant (As appearing in supporting identification document)

Name

Father's/Spouse Name

2. Gender Male Female B. Marital status Single Married C. Date of Birth / /

3. Nationality Indian Other

4. Status Please tick (✓) Resident Individual Non Resident Foreign National (Passport Copy, Mandatory for NRIs & Foreign National)

5. PAN 6. Client Code

7. Unique Identification Number (UID)/Aadhaar, if any:.....

B. Address Details

1. Address for Correspondence (Proof required if any change)

City / Town / Village Pin Code

State Country

2. Contact Details

Tel. (Off.) Tel. (Res.)

Mobile This Mobile No. is my - Own, Spouse, Dependant Parent/Child

E-Mail Id.

This E-mail ID is my Own, Spouse, Dependant Parent/Child

3. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (✓) against the document attached.

Passport Ration Card Registered Lease/Sale Agreement of Residence Driving License Voter Identity Card * Latest Bank A/c Statement/Passbook* Latest Telephone Bill (only BSNL Land Line) *Latest Electricity Bill *Latest Gas Bill

*Not more than 3 Months old. Validity/Expiry date of proof of address submitted

4. Permanent Address of Resident Applicant if different from above B1 OR Overseas Address (Mandatory) for Non-Resident Applicant

City / Town / Village Pin Code

State Country

C. Other Details.

1. Gross Annual Income Details (Please tick (✓) Below 1 Lac 1-5 Lac 5-10 Lac 10-25 Lac > 25 Lacs

OR

Net-worth in ₹ (*Net worth should not be older than 1 year).....as on (date)

2. Occupation (Please tick (✓) any one and give brief details):

Private Sector Service Public Sector Government Service Business Professional Agriculturist Retired

Housewife Student Forex Dealer Others (Please specify).....

3. Please tick, if applicable: Politically Exposed Person Related to a Politically Exposed Person

4. Proof/s given (Self attested copies).....

D. Bank Details : Bank Name:.....Branch

Bank A/c No.....A/c. Type.....IFSC

E. Depository A/c. Details: DP Name.....BO ID

DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.

Place:

Date:

X 1 SIGNATURE OF THE CLIENT

F. TRADING PREFERENCES

*Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client.

Exchanges						BROKERAGE STRUCTURE			
NSE, BSE & MSEI		MSEI MCX, NCDEX, BSE & NSE				SEGMENTS	PERCENTAGE	MINIMUM PAISE	
All Segments	Cash/Mutual Fund	F & O	Currency	Debt	Commodity Derivatives	EQUITY	Same day square off		
						Min. Rs. 15 /- per contract	Delivery		
						FUTURES			
						OPTIONS	Rs.	per lot	
						CURRENCY DERIVATIVES			
						MUTUAL FUND			
<input checked="" type="checkbox"/> X2	<input checked="" type="checkbox"/> X2	<input checked="" type="checkbox"/> X2	<input checked="" type="checkbox"/> X2	<input checked="" type="checkbox"/> X2	<input checked="" type="checkbox"/> X2	Signature of the Client: <input checked="" type="checkbox"/> X 3			
If you do not wish to trade in any of the segments/Mutual Fund, please mention here _____									

* If, in future, the client wants to trade on any new segment/new exchange, separate Authorization/letter should be taken from the client by the stock broker.

G Whether any action/proceedings initiated/pending/ taken by SEBI/ Stock exchange/any other authority against the applicant No Yes If Yes, Please specify.....

H. Whether you wish to receive physical contract note or Electronic Contract Note (ECN) - (please specify) If ECN Please Specify E-mail ID

I. Whether you wish to avail SMS / E-mail trade confirmation providing by the Exchanges. No Yes X 4

J. Whether you wish to avail of the facility of internet trading/ wireless technology Yes / No (If Yes, please specify) : NEST Trader Web NEST Mobile NOW Fastrade

K. VOLUNTARY - I / We request you to settle my / our account for funds and securities on a Quarterly OR Monthly basis. (Tick any one) Clients signature..... X 5 or such other higher period as allowed by SEBI/Stock Exchange time to time.

L. NOMINATION DETAILS (for individuals only) I/We wish to nominate I/We do not wish to nominate

If wish to nominate put Clients signature..... X 6

Name of the Nominee: Relationship.....

Address and Phone no. of the Nominee:.....

PAN of Nominee:..... Date of Birth of Nominee:..... If Minor

Name and Address of Guardian.....

Signature of Guardian.....

WITNESSES (Only applicable in case the account holder has made nomination)

Name : Name :

Signature : Signature :

Address : Address :

FOR OFFICE USE ONLY

IPV Done on d d / m m / y y y y

For CSBL office use only

Sub Broker details :- (Seal & Signature)

Staff Name :

Designation :

Name of the Organization :

Signature :

Date

Date

VOLUNTARY DOCUMENT

Demat Debit and Pledge Instruction (DDPI)

Special
Adhesive
Stamp
Rs. 100 /-

Date:

To,

Cochin Stock Brokers Limited

Dear Sir/Madam,

I/We,

1..... (Name).....
..... (Address).

2..... (Name).....
..... (Address).

3..... (Name).....
..... (Address).

hereby explicitly agree and give consent to authorize Cochin Stock Brokers Limited (CSBL), to access my/our below mentioned Demat/Beneficial Owner (BO) Account for the limited purposes as listed below. Accordingly, I/We hereby, authorize CSBL to do the following:-

Sr. No.	Purpose	Signature of the client with Seal
1	Transfer of securities held in my/our above beneficial owner account towards Stock Exchange related deliveries / settlement obligations arising out of trades executed by me/us on the Stock Exchanges through Cochin Stock Brokers Limited	1st Holder (X 18)
		2nd Holder (X 19)
		3rd Holder (X 20)
2	Pledging / re-pledging of securities in favour of Cochin Stock Brokers Limited, the trading member (TM) / clearing member (CM) / clearing corporation (CC) for the purpose of meeting my/our margin requirements in connection with the trades executed by me or us on the Stock Exchanges.	1st Holder (X 21)
		2nd Holder (X 22)
		3rd Holder (X 23)
3	Mutual Fund transactions being executed by me/us on stock exchange order entry platforms	1st Holder (X 24)
		2nd Holder (X 25)
		3rd Holder (X 26)
4	Tendering shares in open offers by me/us through Stock Exchange platforms	1st Holder (X 27)
		2nd Holder (X 28)
		3rd Holder (X 29)


I / We hereby authorize M/s Cochin Stock Brokers Limited to transfer the securities from my /our beneficiary account to the given demat accounts as mentioned herein below towards delivery settlement arising out of trades executed on the Stock Exchanges and Pledging / Re-pledging of securities in favour of trading member (TM) / clearing member (CM) / clearing corporation (CC) towards meeting margin requirements


Depository Beneficial ID	Demat A/c Purpose
1100001100014631	NSE Early Payin A/c
1100001000013821	BSE Early Payin A/c
1202390000000685	NSE CDSL Pool A/c
1202390000000419	BSE Principal A/c
1202390000410885	TM/CM - Client's Securities Margin Pledge A/c.
1202390000412688	NSE Securities Lending & Borrowing Scheme (SLBS)


I / We hereby agree that all such acts done on my behalf by Cochin Stock Brokers Limited shall be deemed to be acts done by me / us .

This is a Revocable Demat Debit and Pledge Instruction (DDPI) Kindly further note that I/We, am/are entitled to cancel/ revoke this authorisation at any time, after sending the revocation letter at the registered office address of Cochin Stock Brokers Limited . Such cancellation / revocation shall not be applicable to those obligations arising out of the trades carried out by me/us prior to such cancellation / revocation.

ACCOUNT TYPE	Client CODE	BROKER / DP
Trading account	Name Client Code	Cochin Stock Brokers Ltd.
Demat Account	DP Client ID	DP ID

(X30)  (Full signature required)
(Sole/First Holder Signature)

(X31)  (Full signature required)
(Second Holder Signature)

(X32)  (Full signature required)
(Third Holder Signature)